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## Slums, Slumdogs, and Resistance

Tayyab Mahmud

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### SLUMS, SLUMDOGS, AND RESISTANCE

#### TAYYAB MAHMUD\*

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Where there is power, there is resistance, and yet, or rather consequently, this resistance is never in a position of exteriority in relation to power. In human history there is always something beyond the reach of dominating systems, no matter how deeply they saturate society, and this is

#### I. INTRODUCTION

obviously what makes change possible.<sup>2</sup>

Marooned on the outskirts of the law, almost one billion people live in slums, mostly in the global South.<sup>3</sup> Many view slums as warehouses of "surplus humanity," and slum dwellers as a "surplus population." <sup>5</sup>

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<sup>1.</sup> MICHEL FOUCAULT, THE HISTORY OF SEXUALITY: VOLUME 1: AN INTRODUCTION 95 (Robert Hurley trans., 1978).

<sup>2.</sup> EDWARD W. SAID, THE WORLD, THE TEXT, AND THE CRITIC 246-47 (1983).

<sup>3.</sup> U.N. Human Settlements Programme [UN-HABITAT], Working Paper: Slums of the World: The Face of Urban Poverty in the New Millennium? 76 annex 3, U.N. Doc. HS/692/03E (2003) (written by Eduardo López Moreno), available at http://www.unhabitat.org/pmss/getElectronicVersion.aspx?nr=1124&alt=1.

<sup>4.</sup> Mike Davis, *Planet of Slums: Urban Involution and the Informal Proletariat*, 26 New Left Rev. 5, 23 (2004) [hereinafter Davis, *Planet of Slums*].

<sup>5.</sup> Henry Veltmeyer, *Surplus Labor and Class Formation on the Latin American Periphery, in* Theories of Development: Modes of Production or Dependency? 201, 212-13 (Ronald H. Chilcote & Dale L. Johnson eds., 1983).

Recently, the Academy Award winning film *Slumdog Millionaire*<sup>6</sup> has dramatically underscored the urgency of the problem of slums. Set in Dharavi, the infamous slum of Mumbai, *Slumdog Millionaire* is an acerbic snapshot of law and illegality tangled in a brutal drama of power and resistance in the lives of slum dwellers.<sup>7</sup> Dharavi emerges as a liminal space<sup>8</sup> where law, extra-legality, and illegality commingle to produce spaces and subjects at the margins of legal orders and formal economies. Indeed, from these margins, we witness "the other side of universality—moral and legal *no man's land*, where universality finds its spatial limit."

How do those situated on the other side of universality negotiate their conditions of existence? What strategies of survival and practices of resistance do those confined to the margins of economic, legal, and social orders deploy? How can the lives of slum dwellers inform theorizing resistance? With a focus on Dharavi, this article explores these questions in tune with LatCrit teachings about the political nature of theorizing, <sup>10</sup> the intersections of local and global, <sup>11</sup> and privileging the voices of the subordinated. <sup>12</sup> Guided by Foucault's insight about the relationship between power and resistance, this article first explores the structural determinants of the production of slum dwellers and slums, and the policy frames that furnish the context of resistance for residents of Dharavi. <sup>13</sup> Prompted by Said's confidence in the possibility of change, this article

8. "The attributes of liminality or liminal personae ('threshold people') are necessarily ambiguous, since this condition and these persons elude or slip through the network of classification that normally locate states and positions in cultural space. Liminal entities are neither here nor there, they are betwixt and between the positions assigned and arrayed by law, custom, convention, and ceremonial." VICTOR W. TURNER, THE RITUAL PROCESS: STRUCTURE AND ANTI-STRUCTURE 95 (1969).

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<sup>6.</sup> SLUMDOG MILLIONAIRE (Fox Searchlight 2009). The term "slumdog," borrowed from this film, can be seen as an offensive characterization of those who live in slums. I use it, as I believe the film does, by combining the words "slum" and "underdog" to signal the marginalized state of those constrained to make slums their abode.

<sup>7.</sup> *Id*.

<sup>9.</sup> Denise Ferreira da Silva, *Towards a Critique of the Socio-Logos of Justice: The Analytics of Raciality and the Production of Universality*, 7 Soc. IDENTITIES 421, 422 (2001).

<sup>10.</sup> See Francisco Valdes, Poised at the Cusp: LatCrit Theory, Outsider Jurisprudence & Latina/o Self-Empowerment, 2 HARV. LATINO L. REV. 1, 53 (1997).

<sup>11.</sup> See Pedro A. Malavet, LatCritical Encounters with Culture in North-South Frameworks, 55 Fla. L. Rev. 1 (2003); Ediberto Román, LatCrit VI: Outsider Jurisprudence and Looking Beyond Imagined Borders, 55 Fla. L. Rev. 583, 596 (2003).

<sup>12.</sup> See Francisco Valdes, Outsider Scholars, Critical Race Theory, and "OutCrit" Perspectivity: Postsubordination Vision as Jurisprudential Method, in CROSSROADS, DIRECTIONS, AND A NEW CRITICAL RACE THEORY 399, 399 (Francisco Valdes et al. eds., 2002).

<sup>13.</sup> See infra Parts II-III.

proceeds to engage with attempts to theorize resistance that unfolds outside recognized modes of political engagement, and recounts practices of resistance demonstrated by the slum dwellers of Dharavi.<sup>14</sup>

#### 

In Mumbai, every second person lives in a slum, making it the global capital of slum-dwelling. Twelve million people live in slums and tenements, and one million on pavements and sidewalks. In Dharavi, a 0.67 square mile maze of dark alleys and corrugated shacks that house one million souls, thousing is a verb. This is a place where slum dwellers juggle housing costs, security, quality of shelter, distance from work, and personal safety. In the slums of Mumbai, death rates are fifty percent higher than in adjoining rural areas. Contaminated water and inadequate sanitation cause infections and parasitic diseases that account for forty percent of the death rate. Breathing Dharavi's air is as hazardous as smoking two and a half packs of cigarettes a day. The municipal corporation runs the few available public toilets and charges for each use. Slum dwellers who have been in the city since before 1995 pay a monthly fee to the city for a "photo-pass," an identity card with the photograph of the head of the household on it. The small print on the back of the card

<sup>14.</sup> See infra Parts IV-V.

<sup>15.</sup> See SUKETU MEHTA, MAXIMUM CITY: BOMBAY LOST AND FOUND (2004).

<sup>16.</sup> See Komal Panchamatia, Every Second Person in Mumbai Resides in Slum: UNDP Report, BUS. STANDARD, Sept. 4, 2009, http://www.business-standard.com/india/news/every-second-person-in-mumbai-resides-in-slum-undp-report/72631/on (quoting U.N. Development Programme [UNDP], Mumbai Human Development Report 2009, 55 (2010) (prepared by Regional Centre for Urban and Environmental Studies, All India Institute of Local Self Government, Mumbai), available at http://hdr.undp.org/en/reports/nationalreports/asiathepacific/india /Mumbai 2009 NHDR EN.pdf).

<sup>17.</sup> *Id.*; Minar Pimple & Lysa John, *Security of Tenure: Mumbai Experience, in* HOLDING THEIR GROUND: SECURE LAND TENURE FOR THE URBAN POOR IN DEVELOPING COUNTRIES 75, 78 tbl.4.1 (Alain Durant-Lasserve & Lauren Royston eds., 2002).

<sup>18.</sup> KALPANA SHARMA, REDISCOVERING DHARAVI: STORIES FROM ASIA'S LARGEST SLUM 18 (2000).

<sup>19.</sup> John F.C. Turner, *Housing as a Verb*, *in* Freedom to Build: Dweller Control of the Housing Process 148 (John F.C. Turner & Robert Fichter eds., 1972).

<sup>20.</sup> ALAIN JACQUEMIN, URBAN DEVELOPMENT AND NEW TOWNS IN THE THIRD WORLD 90-91 (1999).

<sup>21.</sup> MEHTA, *supra* note 15, at 29.

<sup>22.</sup> Kalpana Sharma, *Mumbai's Other Half*, COUNTER CURRENTS, Oct. 17, 2004, http://www.countercurrents.org/gender-sharma171004.htm.

<sup>23.</sup> Sharit K. Bhowmik, *The Politics of Urban Space in Mumbai: 'Citizen' Versus the Urban Poor, in* Contested Transformations: Changing Economies and Identities in Contemporary India 147, 148 (Mary E. John et. al. eds., 2006).

states that the holder is an encroacher who has to pay a monthly "fine."<sup>24</sup>

Dharavi is also a hub of small industries that turn over an estimated \$50-100 million annually. Against all odds, Dharavi has developed into a "kind of self-sufficient, self-sustaining 'village,' one with a "vibrant community and economy," which "has achieved a unique informal 'self-help' urban development over the years, without any external aid." Its more than 5,000 industrial units produce textiles, pottery, and leather and provide recycling, printing, steel fabrication, and other services. Polluting, toxic, and often illegal industries find Dharavi, where "Darwin beat[s] Keynes," attractive. Sweatshops profitably mine cheap labor under the radar of regulatory oversight. He slums contend with the "garbage dump syndrome," a concentration of toxic industrial activity like tanning, dyeing, battery recycling, casting, metal plating, and chemical manufacturing. Slums also face the omnipresent threat of fire, whether accidental or the result of "hot demolition," —arson used by landowners to clear out squatters.

Recently, the Mumbai city government approved a plan, styled "Vision Mumbai," to create a "world-class city" by 2013.<sup>33</sup> Dharavi, where the value of the land occupied by the slum is estimated to be approximately \$2 billion,<sup>34</sup> is a particular target of this plan. Demolitions by bulldozers, with little notice to the residents, are the *modus operandi*.<sup>35</sup> One Dharavi

25. Mitu Sengupta, *Slumdog Millionaire's Dehumanizing View of India's Poor*, COUNTER PUNCH (Feb. 20, 2009), *available at* http://www.counterpunch.org/sengupta022009.html.

28. Hans Schenk, *Urban Fringes in Asia: Markets Versus Plans, in* Realigning Actors in an Urbanizing World: Governance and Institutions from a Development Perspective 119, 130-31 (I. S. A. Baud & J. Post eds., 2002).

32. See Hans Schenk, Living in Bangalore's Slums, in Living in India's Slums: A CASE STUDY OF BANGALORE 17, 34 n.8 (Hans Schenk ed., 2001).

<sup>24.</sup> Id.

<sup>26.</sup> Prakash M. Apte, *Dharavi: India's Model Slum*, Planetizen, Sept. 29, 2008, http://www.plkanetizen.com/node/35269.

<sup>27.</sup> Id.

<sup>29.</sup> See HUMAN RIGHTS FIRST, Background, http://www.humanrightsfirst.org/workers rights/index.asp (last visited June 2, 2010).

<sup>30.</sup> Gita Dewan Verma, Slumming India: A Chronical of Slums and Their Saviours 16 (2003).

<sup>31.</sup> *Id*.

<sup>33.</sup> Bombay First & McKinsey & Co., Vision Mumbai: Transforming Mumbai into a World-Class City: A Summary of Recommendations vii (2003).

<sup>34.</sup> David Harvey, *The Right to the City*, 53 NEW LEFT REV. 23, 35 (2008), available at http://www.newleftreview.org/?view=2740.

<sup>35.</sup> NGO Appeals Against Slum Demolitions in Mumbai, National Confederation of Human Rights Organizations, http://www.nchro.org/index.php?option=com\_content &view=article&id=3263:ngo-appeals-against-slum-demolitions-in-mumbai&catid=12:stateviolations&Itemid=7 (last visited May 27, 2010).

#### resident asks:

Why wreck the homes and lives of people who have built the city and lived in it for decades? . . . Because from your luxury high-rise apartment you don't want the humiliation of India's poor in your line of vision as you make your money and succeed. Forcing them out is the only option. You simply can't wish them away.<sup>36</sup>

Dharavi residents are not the only ones questioning Mumbai's new vision of the city. An internationally known architect, for example, claims, "There's very little vision with this plan. They're more like hallucinations." Residents also question the long-term vision of the plan and the city's motivation behind the development. One slum-dweller asks, "Development for whom? The government's idea of development doesn't include us. I've seen the plans. Wonderful. No room at all for ugly poor people." In the meantime, the global escalation in the price of real estate has also reached Dharavi, making even the slum beyond the reach of the destitute. A Dharavi real estate broker explains:

Ten years ago, poor people were my only clients and huts my only properties. My only line was: "Poor people can afford it." Now I sell to businessmen, investors and speculators. I tell them, "This place is a commercial center. It's slap in the middle of the city. Its development is approved. It's a sure thing! It's golden! Get it now!"

This picture of Dharavi shows a space not invested with formal legality. Rather, it is a liminal zone of regulatory vacuum, where predatory entrepreneurs, corrupt politicians, and state functionaries operate unfettered by law or public scrutiny.

# III. STRUCTURAL CONTEXT OF RESISTANCE: CAPITALISM, CITIES, AND SLUMS

Some have characterized slum dwellers as the "outcast proletariat" or the "disincorporated," and "unincorporatable" of capitalism. <sup>42</sup> They stand "condemned to the world of the excluded, the redundant, the dispensable, having nothing to lose, not even the chains of wage-slavery. [They are the]

<sup>36.</sup> Dan McDougall, *Waste Not, Want Not in the £700m Slum, GUARDIAN, Mar. 4, 2007, available at http://www.guardian.co.uk/environment/2007/mar/04/india.recycling/print.* 

<sup>37.</sup> *Id*.

<sup>38.</sup> See Alex Perry, Life in Dharavi: Inside Asia's Biggest Slum, TIME, Jun. 12, 2006, http://www.time.com/time/asia/covers/501060619/slum.html.

<sup>39.</sup> *Id*.

<sup>40.</sup> Id.

<sup>41.</sup> Mike Davis, *The Urbanization of Empire: Megacities and the Laws of Chaos*, 22 Soc. Text 9, 10-12 (2004) [hereinafter Davis, *The Urbanization of Empire*].

<sup>42.</sup> Id. at 11, 13.

the shadowy figures of the rejected, the marginal, the leftovers of capital's arising, the wreckage and debris." Three interlinked historical features of capitalism furnish the contours of the process that produces these slums and their inhabitants: accumulation by dispossession, the reserve army of labor, and the informal economy.

Accumulation by dispossession signifies that markets always rely on non-market legal and extra-legal forces to function. 44 Historically, coercion triggered the genesis of capitalism: the generation of "free" labor from those who have no other means of livelihood except their labor to be sold in a nascent "free" labor market. 45 The process turned on "ex-novo" separation between producers and means of production."46 This was a realm outside the market governed by "pure" economic laws—a zone of extra-economic coercive power of the state and the law. The Enclosure Acts<sup>47</sup> and Game Laws<sup>48</sup> in England are examples of the coercive use of the law to dispossess rural farmers, hunters, and other subsistence producers, forcing them to seek a livelihood in the "free" wage market. Primitive accumulation, however, is "a basic ontological condition for capitalist production, rather than just a historical condition." The various forms of social capital that are required by capitalism but not paid for by private capital exemplify the enduring nature of accumulation by dispossession. Examples include publically funded infrastructure, gendered and often racialized household and reproductive labor, 50 instrumental use of race,

<sup>43.</sup> KALYAN SANYAL, RETHINKING CAPITALIST DEVELOPMENT: PRIMITIVE ACCUMULATION, GOVERNMENTALITY & POST-COLONIAL CAPITALISM 53 (2007).

<sup>44.</sup> See David Harvey, The New Imperialism 137-82 (2003); Michael Perelman, The Invention of Capitalism: Classical Political Economy and the Secret History of Primitive Accumulation (2000) [hereinafter Harvey, The New Imperialism]; Michael Perelman, Primitive Accumulation from Feudalism to Neoliberalism, 18 Capitalism, Nature, Socialism 44, 54 (2007); Jim Glassman, Primitive Accumulation, Accumulation by Dispossession, Accumulation by "Extraeconomic" Means, 30 Progress Hum. Geography 608 (2006).

<sup>45.</sup> See HARVEY, THE NEW IMPERIALISM, supra note 44, at 148.

<sup>46.</sup> Massimo de Angelis, Separating the Doing and the Deed: Capital and the Continuous Character of Enclosures, 12 HIST. MATERIALISM 57, 63 (2004).

<sup>47.</sup> See Sir John Baker, VI The Oxford History of the Laws of England:  $1483-1558,\,650-52$  (2003).

<sup>48.</sup> See E.P. THOMPSON, WHIGS AND HUNTERS: THE ORIGIN OF THE BLACK ACT 94, 99, 207 (1975); WILLIAM BLACKSTONE, 4 COMMENTARIES ON THE LAWS OF ENGLAND 174-75 (Univ. Chicago Press 1979) (1775).

<sup>49.</sup> Glassman, *supra* note 44, at 615.

<sup>50.</sup> See Claude Meillassoux, Maidens, Meal and Money: Capitalism and The Domestic Community 38 (Jack Goody & Geoffrey Hawthorn eds., Cambridge Univ. Press 1981) (1975); Nona Y. Glazer, Servants to Capital: Unpaid Domestic Labor and Paid Work, 16 Rev. Radical Pol. Econ. 61, 64-65 (1984); Nancy Hartsock, Globalization and Primitive Accumulation: The Contributions of David Harvey's Dialectical Marxism, in David Harvey: A Critical Reader 167, 183 (Noel Castree & Derek Gregory eds., 2006); Cindi Katz, Vagabond Capitalism and the Necessity of Social Reproduction, 33 Antipode 708, 709 (2001); Claude Meillassoux,

class, and nationality in immigration and land-ownership laws that consolidated agro-capital in California,<sup>51</sup> and new appropriation of the commons for private accumulation whereby "the global commons are being enclosed."<sup>52</sup>

Accumulation by dispossession produces a reserve army of labor. While the "creative destruction," <sup>53</sup> of capitalism destroys traditional entitlements and subsistence economies and estranges direct producers from their means of labor, all those dislocated are not absorbed in the new production process. <sup>54</sup> This unabsorbed labor is the so-called "surplus humanity"—populations separated from their non-capitalist means of subsistence but not integrated into the productive circuits of wage labor on a stable basis. <sup>55</sup>

What do those not absorbed in formal markets do while suspended in the "imaginary waiting room" of history?<sup>56</sup> They tend to their basic needs as best as they can by exchanging needs and capacities in networks of barter, petty trade, and casual employment under the radar of the law. The result is the emergence of a "need economy"<sup>57</sup>—a zone outside the formal legal frames of contract and regulation signifying "informalization within the accumulation economy."<sup>58</sup> This zone is the so-called informal economy. While ostensibly "discovered in Africa in the early 1970s,"<sup>59</sup> the informal economy has been a perennial and enduring companion of the formal capitalist economy. Its emergence was contemporaneous with that of capitalism, and it endures just as capitalism persists.

From Reproduction to Production, 1 Econ. & Soc. 93, 100 (1972).

Regulatory Environment I, U.N. Doc. Martha Alter Chen).

<sup>51.</sup> See Richard A. Walker, The Conquest of Bread: 150 Years of Agribusiness in California 66 (2004).

<sup>52.</sup> *See* Harvey, The New Imperialism, *supra* note 44, at 146-48; Hartsock, *supra* note 50, at 176.

<sup>53.</sup> See Joseph A. Schumpeter, Capitalism, Socialism and Democracy 81-86 (Harper & Row 1976) (1942).

<sup>54.</sup> See ROBERT SKIDELSKY, KEYNES: THE RETURN OF THE MASTER (2009); Thomas I. Palley, From Keynesianism to Neoliberalism: Shifting Paradigms in Economics, in NeoliberalAism: A Critical Reader 20, 23 (Alfredo Saad-Filho & Deborah Johnson eds., 2005).

<sup>55.</sup> See Fred Magdoff & Harry Magdoff, Disposable Workers: Today's Reserve Army of Labor, 55 Monthly Rev. 18 (2004); Robert Pollin, David Gordon Memorial Lecture: The "Reserve Army of Labor" and the "Natural Rate of Unemployment": Can Marx, Kalecki, Friedman, and Wall Street All Be Wrong?, 30 Rev. Radical Pol. Econ. 1, 4 (1998).

<sup>56.</sup> DIPESH CHAKRABARTY, PROVINCIALIZING EUROPE: POSTCOLONIAL THOUGHT AND HISTORICAL DIFFERENCE 8 (2000).

<sup>57.</sup> SANYAL, *supra* note 43, at 208-15.

<sup>58.</sup> Id. at 237.

<sup>59.</sup> U.N. Department of Economic & Social Affairs [ECOSOC], Working Paper: Rethinking the Informal Economy: Linkages with the Formal Economy and the Formal Regulatory Environment 1, U.N. Doc. ST/ESA/2007/DWP/46 (2007) (written by

Since the late 1970s, a neoliberal counter-revolution has unfolded on a global scale.<sup>60</sup> This accelerated accumulation by dispossession, enlarged the surplus army of labor, and expanded the informal sectors of economies. 61 Rural and urban areas are sutured in new networks to accelerate the siphoning of value. 62 Deeper penetration of market forces accelerates migration of uprooted rural farmers to urban areas. 63 Rapid urban growth combined with diminished state capacity is the recipe for mushrooming slums in the global South.<sup>64</sup> With the state rolled back, privatization becomes "the cutting edge of accumulation by As flexible production shrinks regulated formal dispossession."65 economies, informal shadow economies become the only source of livelihood for the urban poor. Today, the informal sector engages twofifths of the economically active population of the global South. 66 Across the global South, neoliberal policies have been "an inevitable recipe for the mass production of slums,"67 that "have become dumping grounds for a surplus population working in unskilled, unprotected, and low-wage

60. See David Harvey, A Brief History of Neoliberalism (2005); Skidelsky, supra note 54; Costas Lapavitsas, Mainstream Economics in the Neoliberal Era, in Neoliberalism: A Critical Reader 30, 40 (2005); Gérard Duménit and Domminique Levy, The Neoliberal (Counter-) Revolution, in Neoliberalismism: A Critical Reader 9 (Alfredo Saad-Filho & Deborah Johnson eds., 2005); William I. Robinson & Jerry Harris, Towards a Global Ruling Class: Globalization and the Transnational Capitalist Class, 64 Sci. & Soc'y 11 (2000).

<sup>61.</sup> David Harvey, *Neo-liberalism as Creative Destruction*, 88 Swedish Soc'y for Anthropology & Geography 145, 145-46 (2006); Daniel Yergin & Joseph Stanislaw, The Commanding Heights: The Battle Between Government and Marketplace that is Remaking the Modern World (1998).

<sup>62.</sup> See P. J. TAYLOR, WORLD CITY NETWORK: A GLOBAL URBAN ANALYSIS (2004); John Friedman, Where We Stand: A Decade of World City Research, in WORLD CITIES IN A WORLD-SYSTEM 21, 22 (Paul J. Knox & Peter J. Taylor eds., 1996); Julie Skurski & Fernando Coronil, Country and City in a Colonial Landscape: Double Discourse and the Geopolitics of Truth in Latin America, in VIEW FROM THE BORDER: ESSAYS IN HONOR OF RAYMOND WILLIAMS AND CULTURAL POLITICS 231, 233-34 (Dennis L. Dworkin & Leslie G. Romnan eds., 1993).

<sup>63.</sup> See Jan Breman, Footloose Labor: Working in India's Informal Economy (1996); Jan Breman, Wage Hunters and Gatherers: Search for Work in the Urban and Rural Economy of South Gujarat (1994); Lori Ann Thrupp with Gillies Bergeron & William F. Walters, Bittersweet Harvests for Global Supermarkets: Challenges in Latin America's Agricultural Export Boom (1995).

<sup>64.</sup> For a detailed study of rapid urbanization over the last 30 years, see Frederick Van der Ploeg & Steven Poelhekke, *Globalization and the Rise of Mega-Cities in the Developing World*, 1 CAMBRIDGE J. REGIONS ECON. & SOC'Y 477, 490-94 (2008).

<sup>65.</sup> HARVEY, THE NEW IMPERIALISM, *supra* note 44, at 157.

<sup>66.</sup> U.N. Human Settlements Programme [UN-HABITAT], *The Challenge of Slums: Global Report on Human Settlements 2003*, 103-04 U.N. DOC. HS/686/03E (2003); JAN BREMAN, THE LABORING POOR: PATTERNS OF EXPLOITATION, SUBORDINATION, AND EXCLUSION 174 (2003).

<sup>67.</sup> Mike Davis, *Planet of Slums*, supra note 4, at 17.

informal service industries and trade."68

If accumulation by dispossession creates slum dwellers, what is the genealogy of slums? The "creative destruction" of capitalism forced rapid relocations of habitation as rural populations moved to emerging centers of extraction, manufacturing, and commerce. As urban centers grew in a laissez faire regimes, so too did slums as the abode of the surplus army of labor. Dublin, Manchester, London, and Naples were early examples of such urban centers.<sup>69</sup> The first prototype of planned urban development under capitalism occurred as a result of the rebuilding of Paris in the nineteenth century, whereby Paris emerged as a center of commerce and bourgeois life, and its emerging slums were removed from the heart of the city to its outer rim. 70 While this "turned the capital city into the city of capital,"71 it also demonstrated that "the root cause of urban slumming seems to lie not in urban poverty but in urban wealth."<sup>72</sup> The second prototype emerged in the United States after the Second World War; first in New York City, and then in most other metropolitan areas.<sup>73</sup> While predominantly white middle-classes, ensconced in debt-financed suburbia. turned to the pursuit of individual accumulation, protection of property, and so-called family values.<sup>74</sup> the darker under-classes and the marginalized had to contend with removals, blighted inner-city ghettos, and inhuman public housing projects. The reconfiguration of Paris and New York City furnished two alternative models of urban development for the modern city across the global North—the "donut-shaped" American cities, with mostly poor people of color and immigrants concentrated in derelict inner-city zones, and European "saucer" cities, with immigrant and unemployed populations occupying high-rise housing on urban outskirts.<sup>75</sup> In the global South, urban growth is a hybrid of both models, turning urban real estate into a high-profit sector "where political corruption, capitalist development

69. See generally Davis, Planet of Slums, supra note 67 (detailing the development of these slums).

<sup>68.</sup> Id. at 175.

<sup>70.</sup> See DAVID HARVEY, PARIS, CAPITAL OF MODERNITY (2003) [hereinafter HARVEY, PARIS].

<sup>71.</sup> Derek Gregory, *Introduction: Troubling Geographies*, in DAVID HARVEY: A CRITICAL READER 1, 13 (Noel Castree & Derek Gregory eds., 2006).

<sup>72.</sup> VERMA, *supra* note 30, at xix.

<sup>73.</sup> See ROBERT MOSES AND THE MODERN CITY: THE TRANSFORMATION OF NEW YORK (Hillary Ballon & Kenneth T. Jackson eds., 2007).

<sup>74.</sup> See Hilde Eileen Nefstad et al., Ideology and Power: The Influence of Current Neo-liberalism in Society, 17 J. COMMUNITY & APPLIED SOC. PSYCHOL. 313 (2007).

<sup>75.</sup> See generally HARVEY, PARIS, supra note 70, at 236-52 (discussing the displacement of the working class from the city center of Paris); ROBERT MOSES AND THE MODERN CITY, supra note 73, at 94-121 (providing an overview of downtown urban renewal projects and slum removal in New York City).

and international finance intersect."<sup>76</sup> The dominant result is the concentration of real-estate ownership and slum growth.<sup>77</sup>

The neoliberal political economy has triggered a convergence between domains of economy and culture, and a confluence of reconfiguration of urban space and commodification of symbolic forms. The city increasingly becomes exclusively a zone of the service and consumption economy, and urban space itself turns into a cultural commodity to be consumed along market principles. Skills suitable for the service sector and/or the capacity to consume what this space has to offer become the only grounds for eligibility to be in the city. The ineligible who stay back are eventually confined to the informal economy and deprived of secure shelter. The commodification of urban space, with culture and aesthetics at a premium, engenders a range of legal and architectural regimes to discipline the ineligible and dispossessed. These include "secure architecture," "zero tolerance" policing, and "preventive crime control," in the neoliberal "post-justice" city. So

In the midst of all this, some urban groups do manage to contrive cultural, economic, and political "spaces of escape," or "counter spaces." Farmers' markets, "alternative lifestyle" enclaves, and the "underground" economy are examples of this phenomenon which engender "new identities and practices that disturb established histories." The resistive mode of these counter spaces can and does take overt political forms, as demonstrated by the anti-WTO protests in Seattle in 1999, and replicated in

<sup>76.</sup> Cağlar Keyder, *The Housing Market from Informal to Global*, *in* ISTANBUL: BETWEEN THE GLOBAL AND THE LOCAL 143, 153 (1999).

<sup>77.</sup> See id. at 153-57 (detailing the growth of large construction firms, the sale of land to large developers and banks, and the displacement of the lower class in Istanbul during the 1980s).

<sup>78.</sup> See Allen J. Scott, Capitalism, Cities, and the Production of Symbolic Forms, 26 Transactions Inst. British Geographers 11, 11-12 (2002).

<sup>79.</sup> See Timothy A. Gibson, Selling City Living: Urban Branding Campaigns, Class Power and the Civic Good, 8 Int'l J. Cultural Stud. 259 (2005).

<sup>80.</sup> See Don Mitchell, Postmodern Geographical Praxis?: The Postmodern Impulse and the War Against Homeless People in the "Post Justice" City, in POSTMODERN GEOGRAPHY: THEORY AND PRAXIS 57 (Claudio Minca ed., 2001); Don Mitchell, The Annihilation of Space by Law: The Roots and Implications of Antihomeless Laws in the United States, 29 ANTIPODE 303, 305-06 (1997); Jamie Peck, Geography and Public Policy, Mapping the Penal State, 27 PROGRESS HUM. GEOGRAPHY 222 (2003).

<sup>81.</sup> Gordon MacLeod et al., *Negotiating the Contemporary City: Introduction*, 40 URB. STUD. 1655 (2003).

<sup>82.</sup> CITIES AND CITIZENS 167 (James Holston ed., 1999); see also SUDHIR ALLADI VENKATESH, OFF THE BOOKS: THE UNDERGROUND ECONOMY OF THE URBAN POOR (2006); Regina Austin, "An Honest Living": Street Vendors, Municipal Regulation, and the Black Public Sphere, 103 Yale L.J. 2119 (1994); Regina Austin, "A Nation of Thieves": Securing Black People's Right to Shop and to Sell in White America, 1994 UTAH L. REV. 147 (1994).

many major cities around the world.<sup>83</sup> This phenomenon has particular import for the resistance movements of slum dwellers.<sup>84</sup>

# IV. BACKDROP OF RESISTANCE IN DHARAVI: INDIAN PUBLIC POLICY AND SLUMS

The genealogy of the spatial production of urban slums in the global South is rooted in the colonial configuration of cities in forms suitable for the assertion of control and the incorporation of the colony into the economies of empire. In India, British colonists reconfigured urban areas to serve such ends. A defining feature of colonial India was the spatial divide between the centers of gravity of colonial presence and the native quarters. Natives not recruited into colonial security, administrative, and commercial regimes, remained at or beyond the spatial and social margins. A policy of neglecting even minimal housing needs of native neighborhoods escalated into a *de facto* housing policy of reliance on local elites who built overcrowded, unsanitary, but highly profitable tenements. Mumbai, historically a coastal fishing village, emerged as an urban center under colonial rule, a development rooted in the colonial control of opium production and trade. The new city was carefully segregated, to separate colonial masters and the incorporated from the dispossessed natives.

After decolonization in the global South, postcolonial elites inherited and often reinforced the physical footprints and exclusionary geographies of segregated cities. They rushed to embrace trickle-down development models, whereby "the poor were denied a place in civic life and urban culture, and were seen as an impediment to progress and betterment of society." In India, the Congress Party's "one-party democracy" claimed

88. See Amar Farooqui, Opium City: The Making of Early Victorian Bombay xiii (2006).

<sup>83.</sup> See, e.g., DAVID FEATHERSTONE, RESISTANCE, SPACE AND POLITICAL IDENTITIES: THE MAKING OF COUNTER-GLOBAL NETWORKS 134 (2008); GLOBALIZATION AND THE POLITICS OF RESISTANCE (Barry K. Gills ed., 2000); NAOMI KLEIN, NO LOGO (1999).

<sup>84.</sup> See generally Globalization and the Politics of Resistance,  $\mathit{supra}$  note 83, at 150-64.

<sup>85.</sup> See Ronald J. Horvath, In Search of a Theory of Urbanization: The Colonial City, 5 East Lake Geographer 60 (1969); Anthony D. King, Urbanism, Colonialism, and the World Economy: Culture and Spatial Foundations of the World Urban System (1990); Jan Morris & Simon Winchester, Stones of Empire: The Buildings of the Raj (1983).

<sup>86.</sup> See Mariam Dossal, Imperial Designs and Indian Realities: The Planning of Bombay City 1845-1875 (1991).

<sup>87.</sup> See id. at 16-30.

<sup>89.</sup> NANDINI GOOPTU, THE POLITICS OF THE URBAN POOR IN EARLY TWENTIETH-CENTURY INDIA 421 (2001).

<sup>90.</sup> STANLEY A. KOCHNANEK, THE CONGRESS PARTY IN INDIA: THE DYNAMICS OF ONE-PARTY DEMOCRACY (1968).

the moral high ground of national interest, modernity, equity, and efficiency. Poverty alleviation was projected as the central concern of the state. However, the development model of modernization by diffusion failed to deliver. While megaprojects like hydroelectric dams and heavy industry thrived, the education, health, and housing needs of the poor and the marginalized were neglected. Often this development model directly swelled the ranks of the urban poor. Hy By the late 1970s, any lingering faith in development through state-led growth projects was shattered by poor growth rates, only a marginal decline in poverty, and barely appreciable improvements in the quality of life. As this development project derailed, the state attempted to address poverty by direct intervention. However, declining growth rates, the escalating fiscal crisis of the state, and the fragmentation of political legitimacy ruled out the implementation of refurbished welfare policies.

India took a turn to neoliberalism in the early 1990s, ushering in an era of "liberalization-privatisation-globalization." The market emerged as the new messiah, the welfare state went into a decisive retreat, and there was a shift away from formal sector waged work to casual labor in the informal sector. The number of paupers in the country increased dramatically. The impact on slum dwellers was particularly acute. The results were a reconfiguration the social contract between the state and the subject, a

<sup>91.</sup> See Pranab Bardhanm, The Political Economy of Development in India (1990); Achin Vanaik, The Painful Transition: Bourgeois Democracy in India (1990); LLoyd I. Rudolph & Susanne H. Rudolph, In Pursuit of Lakshami: The Political Economy of the Indian State (1987).

<sup>92.</sup> See W. W. ROSTOW, THE STAGES OF ECONOMIC GROWTH: A NON-COMMUNIST MANIFESTO (1971). For critiques of this model, see Arturo Escobar, Encountering Development: The Making and Unmaking of the Third World (Sherry B. Ortner et al. eds., 1995).

<sup>93.</sup> See Robert-Jan Baken, Plotting, Squatting, Public Purpose and Politics: Land Market Development, Low Income Housing, and Public Intervention in India 361 (2003).

<sup>94.</sup> Arundhati Roy is quoted in AMITAVA KUMAR, BOMBAY, LONDON, NEW YORK 52 (2002).

<sup>95.</sup> SANYAL, *supra* note 43, at 170.

<sup>96.</sup> Mary E. John & Satish Deshpande, *Theorising the Present: Problems and Possibilities*, ECON. & POL. WKLY, Nov. 15, 2008 at 83, 84; see also Kamal Nayan Karba, *Indian Planning and Liberalisation*, 31 ECON. & POL. WKLY, Oct. 5, 1996, 2740; Kuldeep Mathur, *Neo-liberal Agenda and Study of Institutions*, REV. DEV. & CHANGE 167 (1996).

<sup>97.</sup> See generally GOOPTU, supra note 89.

<sup>98.</sup> JEREMY SEABROOK, IN THE CITIES OF THE SOUTH: SCENES FROM A DEVELOPING WORLD 49 (1996).

<sup>99.</sup> See Kalyani Menon-Sen & Gautam Bhan, Swept off the Map: Surviving Eviction and Resettlement in Delhi (2008); Aditi Iyer & Gita Sen, Health Sector Changes and Health Equity in the 1990s in India, in Health and Equity – Effecting Change 15 (Shobha Raghuram ed., 2001); Minar Pimple & Madhuri Kamat, Struggle for People's Housing, 59 Indian J. Soc. Work 357, 358 (1998).

reconstitution of the grounds of eligibility for full citizenship, and a redrawing of the expectations of collective responsibility. This recalibration entailed turning electoral representative democracy into a "free market democracy." Elections have been turned into a "heavily-sponsored, TVfriendly spectator sport . . . [whereby] an electorate has been turned into a market, voters are seen as consumers, and democracy is being welded to the free market. Ergo: those who cannot consume do not matter." <sup>100</sup> An ideological reconstruction of poverty and inequality has unfolded that furnishes the grounds for the disavowal of the rights of the poor.

The Indian judiciary played a critical role in this enterprise. For a brief period, the courts tempered the violence of evictions and demolitions, everpresent dangers for slum dwellers, by requiring adequate resettlement schemes. 101 The neoliberal turn triggered a rupture, which led to a redefinition of poverty and the rights of the poor, and Indian courts have cast aside any considerations of the humane treatment and adequate resettlement of squatters and have adopted a discourse of the illegality of slums and squatting. 102

In the 1980s and early 1990s, Indian courts equated evictions with the denial of the right to life, 103 mandated alternative housing, 104 held that "reasonable residence is an indispensible necessity" for human development and the fulfillment of the "right to life," and argued that the Indian Constitution held within its ambit the right to shelter to make the right to life more meaningful. 106 The courts held that adequate shelter is "a home where [one] has opportunities to grow physically, mentally,

<sup>100.</sup> ARUNDHATI ROY, FIELD NOTES ON DEMOCRACY: LISTENING TO GRASSHOPPERS 17 (2009). For a case study of political marketing in the 1989 parliamentary election in India, see DILIP M. SARWATE, POLITICAL MARKETING: THE INDIAN EXPERIENCE 110-201 (1990).

<sup>101.</sup> See James Holston, Insurgent Citizenship 256 (2008).

<sup>101.</sup> See James Holston, Insurgent Citizenship 256 (2008).

102. See Menon-Sen & Bhan, supra note 99; Gautam Bhan, This Is No Longer the City I Once Knew: Evictions, the Urban Poor and the Right to the City in Millennial Delhi, 21 Env't & Urban Poor and the Right to the City in Millennial Cities Becoming Bourgeois at Last? Or, If You Prefer, We Could Exclaim: Are Indian Cities Becoming Bourgeois, Alas?, in Contested Transformations, supra note 23, at 113, 113; Janaki Nair, "Social Municipalism" and the New Metropolis, in Contested Transformations, supra note 23, at 125, 134; Lavanya Rajamani, Public Interest Environmental Litigation in India: Exploring Issues of Access, Participation, Equity, Effectiveness and Sustainability, 19 J. Envil. L. 293, 303 (2007); Usha Ramanathan, Illegality and the Urban Poor, Econ. & Pol. Wkly, July 22, 2006, at 3193, 3197, available at http://www.ielrc.org/content/a0606.pdf; Rakesh Shukla, Rights of the Poor: An Overview of Supreme Court of India, Econ. & Pol. Wkly, Sept. 2, 2006, at 3755, 3757.

<sup>103.</sup> Tellis v. Bombay Mun. Corp., (1985) 3 S.C.C. 545, 549 (India).

<sup>104.</sup> Chandru v. State of Tamil Nadu, (1985) 3 S.C.C. 537, 541 (India).

<sup>105.</sup> Shantistar Builders v. Totame, A.I.R. 1990 S.30, 633-34 (India), appeal denied, aff'd sub nom, Shantistar Builders v. Gotame, A.I.R. 1996 S.C. 786 (India).

<sup>106.</sup> Singh v. State of Uttar Pradesh, (1996) 2 S.C.C. 549 (India).

intellectually and spiritually." <sup>107</sup> Human rights were deemed to include the "right to a standard of living adequate for the health and well-being of himself and his family; it includes food, clothing, housing, medical care and necessary social services."108

As neoliberalism made inroads in India, the courts took a dramatic turn. They now became interested in "cleaning up the city," 109 characterized slums as "large areas of public land . . . usurped for private use free of cost." and rejected a plan to provide the free land for resettlement as "a proposal which attracts more land grabbers. Rewarding an encroacher on public land with an alternative free site is like giving a reward to a pickpocket."110 The judicially recognized fundamental right to adequate housing evaporated in thin air. Now the courts told squatters facing imminent removal, "[w]hen you are occupying illegal land, you have no legal right, what to talk of fundamental right, to stay there a minute longer."111 Courts raised the specter of anarchy and breakdown of order was raised to deny any relief: "If encroachments on public land are to be allowed, there will be anarchy." 112 Deploying a discourse of epidemics and pathology to characterize squatters and slum dwellers, the courts argued that "their" numbers were "growing and growing," and hence urgent steps must be taken to "deal with *the problem*." The ultimate prescription was rather simple: "If they cannot afford to live in [the city], let them not come to [the city]."114

The end result is an increased "segregation of economic classes." <sup>115</sup> A discourse of "development pornography" has flourished that holds slum dwellers responsible for "pressure on civic amenities, crime, social imbalances, economic exploitation, unplanned growth, deterioration of city beautification, culture, environmental setback to city development in a planned manner." The new judicial language regarding slum dwellers is

<sup>107.</sup> Id.

<sup>108.</sup> Ahmedabad Mun. Corp. v. Khan, (1997) 11 S.C.C. 121 (India).

<sup>109.</sup> Patel v. Union of India, (2000) 2 S.C.C. 679, 684-85 (India) (emphasis added).

<sup>110.</sup> Id. (emphasis added).

<sup>111.</sup> See Ramanathan, supra note 102, at 3197 (quoting Raj v. Comm'r of Police, (2005) 3419 W.P. 1999 (India)) (emphasis added).

<sup>112.</sup> Id. (emphasis added).

<sup>113.</sup> *Id.* (emphasis added).

<sup>114.</sup> Id. (emphasis added).

<sup>115.</sup> Nikhil Joseph & Pascal Goodman, CTR. FOR CIVIL SOC'Y, On the Outskirts of Legality: Policy and Legal Aspects of Slum Resettlement in Delhi (2008), available at http://ssrn.com/abstract=1325962.

<sup>116.</sup> Rotimi Sankore, Behind the Image: Poverty and "Development Pornography", PAMBAZUKA NEWS (April 21, 2005), http://www.pambazuka.org/en/category /features/27815.

<sup>117.</sup> See Joseph & Goodman, supra note 115, at 26 (quoting Detailed Project

saturated with the rhetoric of illegality. The courts appear mindful that in a constitutional liberal republic "in order, ethically, to justify denying a national citizen his text-based rights, it becomes necessary to make the informal settler into an 'improper' citizen." The courts have done just that by characterizing slum dwellers as dishonest, unscrupulous, polluters, and predatory encroachers. Rendered an "improper citizen," the "encroacher" can now be denied the protections of rights of citizenship. The political economy of slum production stands erased, the slum and the slum-dweller emerge as a space and a body without history, and responsibilities of the state stand vitiated.

#### V. THEORIZING RESISTANCE

How do slum dwellers respond to their condition? What measures of resignation and resistance frame their existence? Relevant here is Gayatri Spivak's evocative question: "Can the subaltern speak?" Sprivak cautions that external attempts to represent the subaltern run the risk of logocentric assumptions of solidarity within heterogeneity, and of speaking for the subaltern rather than allowing them to speak for themselves. The point is that if the subaltern could speak—that is, speak in a mode and manner intelligible to us—then they would not be a subaltern. This signals that the marginalized may respond to their condition in ways that lie outside the channels and modes of political action contemplated by those who do not share their condition. Substantiations of this proposition emerge from inquiries about "the weapons of the weak," oppositional consciousness, history from below, subversive" history, listory, listory,

Report: Construction of Four Storied E.W.S. Housing for Slum Dwellers at Savdha Ghevra Phase III under INNURM, Slum and JJ Department, Municipal Corporation of Delhi (January 2008)).

122. See James Scott, Weapons of the Weak: Everyday Forms of Peasant Resistance (1987).

<sup>118.</sup> See Usha Ramanathan, Illegality and Exclusion: Law in the Lives of Slum Dwellers 11 (2004).

<sup>119.</sup> MENON-SEN & BHAN, supra note 99, at 139.

<sup>120.</sup> GAYATRI CHAKRAVORTY SPIVAK, IN OTHER WORLDS: ESSAYS IN CULTURAL POLITICS 197 (1988).

<sup>121.</sup> Id. at 197-211.

<sup>123.</sup> See Karen Spalding, Huarochiri: An Andean Society Under Inca and Spanish Rule (1984).

<sup>124.</sup> See C.L.R. James, The Black Jacobins: Toussaint L'Ouverture and the San Domingo Revolution (Penguin Books 2001) (1938); Peter Linebaugh & Marcus Rediker, The Many-Headed Hydra: Sailors, Slaves, Commoners, and the Hidden History of the Revolutionary Atlantic (2004).

<sup>125.</sup> D. D. Kosambi, Combined Methods in Indology and Other Writings 407 (Brajadulal Chattopadhyaya ed., 2002).

alternative constructions of nationhood, 126 and the economy. 127 Resistance of the oppressed may take multiple and even contradictory forms. Here Gyan Prakash's famous question, fashioned in response to a critique that postcolonial theory and subaltern studies try to ride two horses at once—arxism and post-structuralism/deconstruction—is useful: "Can the subaltern ride?" 128 The implication for any inquiry about the marginalized is that it should be receptive to their heterogeneity and even contradictory oppositional practices.

Building on Foucault's insight about the relationship between power and resistance, Judith Butler argues that the conditional but creative possibilities of resistive performance are a "relation of being implicated in that which one opposes, this turning of power against itself to produce alternative modalities of power, to establish a kind of political contestation that is not 'pure opposition' . . . but a difficult labor of forging a future from resources inevitably impure." 129 It is the contingent and precarious terrain of possibilities that makes possible both the reaffirmation of patterns of domination and their undoing. 130 As Mbembe reminds us, located at the variously entangled public spaces, "the postcolonial subject has to learn to bargain in this conceptual marketplace." 131 Lives of the marginalized underscore that "we live in a time of porous legality or legal porosity, multiple networks of legal orders forcing us to constant transitions and trespassing." <sup>132</sup> Extreme heterogeneity of struggles against accumulation by dispossession can appear without progressive characteristics, and may be difficult to bring together both thematically and geographically. 133 The urban informal sector is "ideologically promiscuous" in its tactical alignments with populist political formations across the ideological

<sup>126.</sup> See Florencia E. Mallon, Peasant and Nation: The Making of Postcolonial Mexico and Peru (1995).

<sup>127.</sup> See Michael T. Taussig, The Devil and Commodity Fetishism in South America xii (1983).

<sup>128.</sup> See Gyan Prakash, Can the "Subaltern" Ride? A Reply to O'Hanlon and Washbrook, in Mapping Subaltern Studies and the Postcolonial 220, 220-23 (Vinayak Chaturvedi ed., 2000); Rosalind O'Hanlon & David Washbrook, After Orientalism: Culture, Criticism, and Politics in the Third World, in Mapping Subaltern Studies and the Postcolonial 191, 191-99 (Vinayak Chaturvedi ed., 2000).

<sup>129.</sup> Judith Butler, Bodies That Matter: On the Discursive Limits of "Sex" 241 (1993); see also Thomas B, Hansen, Wages of Violence: Naming and Identity in Postcolonial Bombay 227-34 (2001).

<sup>130.</sup> See Homi K. Bhabha, The Location of Culture 219 (1994).

<sup>131.</sup> ACHILLE MBEMBE, ON THE POSTCOLONY 104 (2001).

<sup>132.</sup> BOAVENTURA DE SOUSA SANTOS, TOWARDS A NEW COMMON SENSE: LAW, SCIENCE AND POLITICS IN THE PARADIGMATIC TRANSITION 473 (1995).

<sup>133.</sup> HARVEY, THE NEW IMPERIALISM, *supra* note 44, at 162-63, 166, 173-74.

spectrum.<sup>134</sup> When analyzing liminal spaces like slums and the informal economy, it is critical that we jettison what Foucault terms "the tyranny of globalizing discourses"<sup>135</sup> and deploy what Chatterjee calls a "fragmentary discourse."<sup>136</sup> One also should take into account what Karl Polanyi termed the "double movement" of capitalist development, or the growth of market orientation of society and the simultaneous growth of struggles against market society's negative effects.<sup>137</sup>

In order to examine the field of possibilities of resistance and transformative political action by slum dwellers, hegemonic ideologies of the time have to be accounted for. Over the last thirty years Keynesian welfare, the socialist alternative, and nationalist populism have increasingly given way to a late capitalist market ideology. Globalization. neoliberalism, consumerism, and individualism form components of this hegemonic frame. 138 We find ourselves in a world characterized by increasingly pervasive norms of the so-called free market: competition, freedom from others, consumerism, and self-fulfillment. 139 individuals posited as self-contained, self-interested, competitive, and primarily driven by greed, these norms reorder the balance between the individual and the community. Collective identities, duties to others, and social solidarities are increasingly banished from public discourse. This has a profoundly negative impact on the potential and scope of social movements that aim at foundational transformations of collective life. In critical social theory the revolutionary potential of urban populations has been a particular focus. Most inquiries have trained on the working class and, more recently, on an amorphous "multitude." Relevant to our inquiry is Frantz Fanon's prognosis that in the global South transformative social movements arose from the uprooted peasantry marooned on the fringes of cities, rather than industrial proletariat as envisaged by Eurocentric critical social theory. He argued:

It is among these masses in the people of the shanty towns and in the lumpenproletariat that the insurrection will find its urban spearhead. The lumpenproletariat, this cohort of starving men, divorced from tribe and

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<sup>134.</sup> See Davis, Planet of Slums, supra note 4, at 29.

<sup>135.</sup> MICHEL FOUCAULT, POWER/KNOWLEDGE: SELECTED INTERVIEWS & OTHER WRITINGS 1972-1977 82-83 (Colin Gordon ed., Colin Gordon trans., 1980)

<sup>136.</sup> PARTHA CHATTERJEE, THE NATION AND ITS FRAGMENTS 13 (1993).

<sup>137.</sup> KARL POLANYI, THE GREAT TRANSFORMATION 76 (1944).

<sup>138.</sup> See Zygmunt Bauman, Liquid Modernity (2000); Pierre Bourdieu, Acts of Resistance: Against the Myths of Our Time (1998); Leslie Sklair, Globalization: Capitalism and Its Alternatives (3d ed. 2002).

<sup>139.</sup> See Hilde Eileen Nafstad, The Neo-liberal Ideology and the Self-Interest Paradigm as Resistance to Change, 3 RADICAL PSYCHOL. 1 (2003), available at http://radicalpsychology.org/vol3-1/HildeEileenNafstad.html.

<sup>140.</sup> See Michael Hardt & Antonio Negri, Empire 391 (2000).

clan, constitutes one of the most spontaneously and radically revolutionary forces of a colonized people. [141]

Analysts of slums note that while the "outcast proletariat" is not a "socialized collectivity of labor and lacks significant power to disrupt or seize the means of production . . . [i]t does possess . . . yet unmeasured powers of subverting urban order." In order to appreciate the political space within which slum dwellers' resistance unfolds, Partha Chatterjee's mapping of postcolonial formations is instructive. Chatterjee sees the postcolonial political field split between distinct domains of civil society and political society. Civil society "peopled largely by the urban middle classes, is the sphere that seeks to be congruent with the normative models of bourgeois civil society and represents the domain of capitalist hegemony." The domain of political society includes the urban poor and rural population, who, while having the formal status of citizens and opportunity to exercise their franchise as an instrument of political bargaining, are not under the moral-political leadership of the capitalist class. Importantly, they

do not relate to the organ of the state in the same way that the middle classes do, nor do governmental agencies treat them as proper citizens belonging to civil society. Those in political society make their claims on government, and in turn are governed, not within the framework of stable constitutionally defined rights and laws, but rather through temporary, contextual and unstable arrangements arrived at through direct political negotiations. <sup>145</sup>

This is in tune with the transformative potential of the marginalized wherein "the profound threat of these marginal positions lies in their power

<sup>141.</sup> Frantz Fanon, The Wretched of the Earth 81 (Richard Philcox trans., Grove Press 2004) (1963).

<sup>142.</sup> Davis, *The Urbanization of Empire*, supra note 41, at 11-12.

<sup>143.</sup> In his interrogation of postcolonial modernity, he locates the emergence and operations of a "political society" in the conflict between modernity and democracy. He sees modernity being about rights and a sanitized public space emptied of social and class divides. "Civil society" is this realm of modernity and domain of rights. Democracy, Chatterjee suggests, emerges where the political meets the popular. This is the domain of "political society," where the dominant are forced to acknowledge the presence of, and to negotiate with, the dominated. In electoral representative democracies, particularly in the global South, the "political society" becomes a terrain where the marginalized can and do secure concessions that are often outside the formal ambit of the law. Partha Chatterjee, Politics of the Governed: Reflections on Popular Politics in Most of the World 40-41 (2004) [hereinafter Chatterjee, Politics of the Governed].

<sup>144.</sup> Partha Chatterjee, *Democracy and Economic Transformation in India*, ECON. & POL. WKLY April 19, 2008, 53, 57 [hereinafter Chatterjee, *Democracy*].

<sup>145.</sup> *Id.* at 57. Chatterjee acknowledges, however, that there remain marginal groups that "represent an outside beyond the boundaries of political society." *Id.* at 61. In the case of India he counts the tribal indigenous communities as the prime representatives of such groups. *Id.* 

to question the ordering of everyday reality, through their capacity to ignore or transcend normal customary divisions." Chatterjee sees the deployment of new forms of political associations of the urban subalterns and organizations of labor within the informal economy as "quite sophisticated forms of strategic politics." However, outcomes of resistance in any given space produced as an effect of operations of power are not predetermined or predictable.

#### VI. PERFORMING RESISTANCE

The marginalized in India are accused of not resisting enough. The complaint is that:

Successive governments in India have had reason enough to rely on the unending patience of the neglected and deprived millions in India who have not risen in fury about illiteracy, hunger, illness, or economic insecurity. The stubborn persistence of these deprivations has much to do with that lack of fury. 14

In conditions of extreme marginality, survival itself is resistance, particularly when one perpetually has to transgress lines of legality to live. work, and survive. Under conditions where "housing is a verb," the daily struggle for shelter, food, water, and even a place to relieve oneself takes time, energy, innovation, and perseverance. 149 Squatting itself is a prolonged test of will and endurance against repressive apparatuses of the state, because "[i]t is not unusual to hear of a squatter settlement that has been constructed overnight, torn down by the police the next day, constructed again the following night, destroyed again, and reconstructed until the authorities tire of fighting." Besides defense of what little they may have, the survival struggles of slum dwellers are often also "surreptitiously offensive," as they ceaselessly try to expand the survival space and the rights of the disenfranchised. 151 Slum dwellers also create sizable informal economies and mutual-help networks to sustain

<sup>146.</sup> Veena Das, *The Uses of Indian Sociology: Society and Cosmos in Hinduism*, 10 CONTRIBUTIONS TO INDIAN Soc. 245, 252 (1976); *see also* Veena Talwar Oldenburg, *Lifestyle as Resistance: The Case of the Courtesans of Lucknow, India*, 16 FEMINIST STUD. 259, 261(1990).

<sup>147.</sup> Partha Chatterjee, Classes, Capital and Indian Democracy, ECON. & POL. WKLY, Nov. 15, 2008, at 89, 92 [hereinafter Chatterjee, Classes].

<sup>148.</sup> Jean Drèze & Amartya Sen. India: Economic Development and Social OPPORTUNITY 87 (1995) (emphasis added).

<sup>149.</sup> See Sanjay K. Roy, Slum-Dwellers' Movement in Calcutta, in Social MOVEMENTS IN CONTEMPORARY INDIA 1 (Shibani Kinkar Chaube & Bidyut Chakraborty eds., 1999).

<sup>150.</sup> KENNETH L. KARST, MURRAY L. SCHWARTZ, & AUDREY J. SCHWARTZ, THE EVOLUTION OF LAW IN THE BARRIOS OF CARACAS 6-7 (1973).

<sup>151.</sup> Asaf Bayat, Un-civil Society: The Politics of the "Informal People", 18 THIRD WORLD Q. 53, 56-57 (1997).

themselves. Doing this under conditions of extra-legality, without any external help, and in the face of public hostility borders on the miraculous. An anti-demolition activist from Dharavi states:

You in the West so easily see the slum as a negative concept. Yes, it is beset by deep poverty and neglect, but Dharavi has also been mirroring India's economic revival and it has done so largely by rejecting a local government that has long ignored it and by recycling its own resources <sup>152</sup>

Slum dwellers of Dharavi use both sustained and episodic collective action to secure access to necessities. In one instance, for example, women of Dharavi deployed sustained and organized action to have the municipal corporation reduce the users' fee for toilets for women and children. It is remarkable that collective action unfolds in a setting where the internal demography is volatile due to a steady influx of newcomers, often resulting in "slums within slums." The resulting spatial configurations are often fractured with "the outsiders and insiders unable to build relationships and coherent communities." Nevertheless, collective resistance against evacuation and slum-removal is an ever-present feature of Dharavi.

In a city otherwise marred by inter-religious strife, residents display remarkable inter-religious solidarity to resist evictions and demolitions in Dharavi. While "developers want to transform this massive slum into a glossy, shining symbol of New India," the slum dwellers "people of all faiths—Hindu, Muslim, Christian—have come together to protest against the demolition of their homes." This intra-slum solidarity arises from a sense of community understood in terms of shared kinship—the most common metaphor . . . is that of family. Slum dwellers, like other urban poor adopt "highly emotive resources of solidarity and militant action." Slum dwellers use eligious and cultural festivals, particularly those that involve rituals of role reversal, to cultivate solidarity and to imagine alternative social arrangements. Such rituals, seen as "safety valves" conceived to reinforce relations of power, become a site for sudden and unanticipated expressions of pent up subaltern anger with transformative

<sup>152.</sup> McDougall, *supra* note 36.

<sup>153.</sup> SHARMA, supra note 18.

<sup>154.</sup> Sabir Ali, *Squatters: Slums Within Slums*, in Urbanization & Slums 55 (Prodipto Roy & Shangon Das Gupta eds., 1995).

<sup>155.</sup> Ranjith Dayaratne & Raja Samarawickrama, *Empowering Communities in the Peri-urban Areas of Colombo*, 15 ENV'T & URBANIZATION 101, 102 (2003).

<sup>156.</sup> Karishma Vaswani, *Mumbai Slum Dwellers Fight Development Plan*, BBC NEWS, Aug. 30, 2007, *available at* http://news.bbc.co.uk/2/hi/business/6970800.stm.

<sup>157.</sup> CHATTERJEE, POLITICS OF THE GOVERNED, supra note 143, at 103.

<sup>158.</sup> Chatterjee, *Democracy*, supra note 144, at 61.

possibilities.<sup>159</sup> Deployment of religion to cultivate solidarity by "the marginalized in the shantytowns of neocolonial modernity" can be a "more radical" resistance than "participation in formal politics or labor unions."<sup>160</sup>

Dharavi residents also use elections and political patronage circuits to sustain a precarious yet resilient existence through political mobilization. Votes in elections are used as bargaining chips. This is facilitated by the fact that "vote-banks" and networks of patron-client relationships play a critical role in the electoral politics in India. Here slum dwellers can be seen as "occupy[ing] a liminal zone framed by the tension between their formal illegality . . . and electoral importance." Election cycles become a particular opportunity for slum dwellers to secure protection and concessions. While the voter turnout in wealthy sections of Mumbai is a mere twelve percent, from the "squatters in the slum colonies, for whom the issue of who comes into power means the difference between living within four walls or on the street, it's eighty-eight percent. False. In India the poor vote." In India

Slum dwellers also secure some measure of protection and benefits through a set of "paralegal arrangements" with the "political society." This is critical, as their habitat and work in the informal sector rests upon violations of property laws, safety standards, pollution norms, and tax regimes. This makes the claims of the urban poor in political society "a matter of constant political negotiation and the results are never secure or permanent. Their entitlements, even when recognized, never quite become rights." The claims for protection and benefits "cannot often be met by standard application of rules and frequently require the declaration of an

The not too rare correspondence between sacred days and insurgency as witnessed, for instance, by the incursion of Wat Tyler's men into London on the morning of Corpus Christi, June 13, 1381, the beginning of the great series of peasant revolts in Germany during Fastnacht 1525, the conversion of a carnival featuring Mere Fella and her Infanterie into a riot in masquerade against royal tax officials in Dijon in 1630, the coincidence of some of the jacqueries of 1789 in France with Sundays, feast days, etc, as mentioned by Lefebvre and the threat of massive uprising in Bombay during Moharram and Diwali in the year of the Mutiny [1857].

<sup>159.</sup> Ranajit Guha notes:

RANAJIT GUHA, ÉLEMENTARY ASPECTS OF PEASANT INSURGENCY IN COLONIAL INDIA 31 (1999).

<sup>160</sup>. Jean Comaroff, Body of Power: The Culture and History of a South African People 259-63 (1985).

<sup>161.</sup> John & Deshpande, *supra* note 96, at 83, 85.

<sup>162.</sup> MEHTA, *supra* note 15, at 68 (quoting a member of the Parliament from Mumbai).

<sup>163.</sup> Id. at 56.

<sup>164.</sup> Chatterjee, *Democracy*, supra note 144, at 58.

exception."<sup>165</sup> The fragile basis of housing and livelihood in the grey zones of informality has to be protected through this on-going negotiation. Often collective action in the slums translates into grassroots organizations to protect extra-legal habitations and livelihoods from the police, other agencies of the state, and developers. Usually styled "associations," they "spring from a collective violation of property laws and civic regulation." A study of extra-legal street venders and squatters finds that they "operated strategically in political society, successfully mobilizing support among citizens and political parties to establish and maintain their tenuous, and clearly illegal, occupation of the streets." <sup>168</sup>

Economic and political forces unleashed by neoliberalism have aggravated the nexus between crime, urban poverty and segregation, and have reconstituted rights of citizenship. Consequently, marginalized slum dwellers often survive by engaging in criminal behavior as those in power stymie legitimate means of survival. Often the use of violence has a "calculative, almost utilitarian logic, designed to draw attention to specific grievances with a view to seeking appropriate governmental benefits. A range of deliberate tactics are followed to elicit the right response from officials, political leaders and especially the media." Violence along intersecting ethnic, religious, caste, and class lines has become an endemic feature of politics in Mumbai since the rise of neoliberalism and the Hindu Right.

The threat of a riot is ever present in Dharavi. Some scholars and

166. See Amita Bhide, Shifting Terrains of Communities and Community Organization: Reflections on Organizing for Housing Rights in Mumbai, 44 COMMUNITY DEV. J. 367, 371-73 (2009); Pimple & Kamat, supra note 99, at 340; Amita Bhide, Housing Campaigns in India: An Overview, 59 INDIAN J. Soc. WORK 340 (1999).

<sup>165.</sup> *Id.* at 61.

<sup>167.</sup> CHATTERJEE, POLITICS OF THE GOVERNED, *supra* note 143, at 59.

<sup>168.</sup> Id. at 61.

<sup>169.</sup> For a landmark study of this process, see Teresa P. R. Caldeira, City of Walls: Crime, Segregation, and Citizenship in Sao Paulo (2000).

<sup>170.</sup> For an evocative report on the entanglement of crime with economic opportunity in Mumbai, including in Dharavi, see MEHTA, *supra* note 15, at 185-249.

<sup>171.</sup> Chatterjee, *Democracy*, *supra* note 144, at 60. Chatterjee says:

the proliferation of the tactical use of violence, not so much to intimidate or punish (although there is that too, but that is familiar political violence of the old kind) but to display in the public space, in spectacular fashion, the anger and moral outrage of "the people." Violence here serves the rhetorical function of converting populations into a people.

Chatterjee, *Classes*, *supra* note 147, at 92.

<sup>172.</sup> See Thomas B. Hansen, The B.J.P. and Compulsions of Politics in India (1998); Thomas B. Hansen, The Saffron Wave: Hindu Nationalism and Democracy in Modern India (1999); Hansen, Wages, *supra* note 129.

theorists see this threat as strategic use of illegality and violence. <sup>173</sup> Violence may be deployed to keep demolition squads at bay, to protest unfavorable changes in state policy, or to protest against worsening conditions of life. Ever since the global neoliberal turn, there has been a noticeable increase in protests by slum dwellers and the urban poor against the escalating cost of food, housing, and other basic amenities. One study counted one hundred and forty-six food riots in thirty-nine countries from 1976 to 1992, and dubbed them "IMF Riots." <sup>174</sup> The response to slum dwellers' protests has included expanded use of state violence through adoption of stricter criminal laws, enforcement of spatial segregation and isolation, and counterinsurgency measures.

Criminalizing slums and slum dwellers is increasingly becoming a part of counterinsurgency strategies. The legislate slum dwellers as criminals, an "architecture of fear" is creating gated communities and secure areas for the elites all over the global South, where they can live a "real imitation life" modeled after television images from the global North. Global security establishments are aware of the geopolitical implications of the rise of slums and slum dwellers' resistance movements. The journal of the Army War College opines: "The future of warfare lies in the streets, sewers, high-rise buildings, and sprawl of houses that form the broken cities of the world." A RAND Corporation report bemoans that the U.S. military is not designed for urban counterinsurgency, while mega-slums are becoming zones of primary threat to the security of the existing order.

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<sup>173.</sup> See, e.g., Hansen, Wages, supra note 129; Adiya Nigam, Secularism, Modernity, Nation: Epistemology of the Dalit Critique, Econ. & Pol. Wkly, Nov. 25, 2000.

<sup>174.</sup> Joseph E. Stiglitz, Globalization and Its Discontents 77 (2002); John Walton & David Seddon, Free Markets and Food Riots: The Politics of Structural Adjustment 39-45 (1994).

<sup>175.</sup> See Cathy L. Schneider, Shantytown Protest in Pinochet's Chile 101 (1995); Michael Barke, Tony Escasany & Greg O'Hare, Samba: A Metaphor for Rio's Favelas, 18 Cities 259, 263 (2001); Alfredo Rodriguez & Anna M. Icaza, Chile: The Eviction of Low-income Residents from Central Santiago de Chile, in Questions Killing by Brazilian Police, N.Y. Times, Dec. 8, 2009, at A12.

<sup>176.</sup> See Tunde Agbola, Architecture of Fear (1997).

<sup>177.</sup> See CALDEIRA, supra note 169; Solomon Benjamin, Governance, Economic Settings, and Poverty in Banglore, 12 ENV'T & URBANIZATION 39 (2000); Harold Leisch, Gated Communities in Indonesia, 19 CITIES 341 (2002); Andre Czegledy, Villas of the Highveld: A Cultural Perspective on Johannesburg and Its Northern Suburbs, in EMERGING JOHANNASBURG: PERSPECTIVES ON THE POSTAPARTHEID CITY 36 (2003).

<sup>178.</sup> See Laura Ruggeri, Palm Springs: Imagineering California in Hong Kong, www.spacing.org (last visited June 22, 2010).

<sup>179.</sup> Ralph Peters, Our Soldiers, Their Cities, 26 PARAMETERS 43 (Spring 1996).

<sup>180.</sup> Jennifer Morrison Taw & Bruce Hoffman, The Urbanization of Insurgency: The Potential Challenge to U.S. Army Operations (1994);  $see\ also$ 

Stripping the slum-dweller, now deemed an "improper" citizen, of protections of the laws has implication that go beyond the space and state of habitat of the urban poor. Now placed both in a space of exception and state of exception, the slum dweller is a perpetual target of state violence. Participation in or even proximity with the dark sides of the informal economy renders the slum-dweller an embodiment of crime and criminality. In the post-welfare and post-social-democracy global North a "language of deviance run[s] amok," and "people and places become pathologically labeled as undeserving 'others." As da Silva shows in her work on state violence in *favelas* of Rio de Janeiro, the subalterns positioned "in/difference" are rendered "affectable subjects" and the "subject[s] of *necessitas* (outer-determination) and not life (self-determination)." In the shadow of neoliberalism, the violence of the state braids with the violence of the market in the service of accumulation by dispossession yet again.

Another obstacle in the struggle of slum dwellers is the ubiquity of discourses and attendant apparatuses of "civil society." This elusive construct, forged on the anvil of European history and reported in the annals of European social theory, is deployed in the global South to enable so-called NGOs to occupy the space being created by shrinking the state and silencing the marginalized. <sup>186</sup> In postcolonial formations the iron fist of the state remains within the supposedly velvet glove of "civil society," which is "not a domain of hegemony . . . but of domination. Its attempts to make economic liberalization the common sense of our times are accompanied by brutal state repression and the anomalous exercise of law." When operating in slums, these NGOs, laying claims to expert knowledge, often monopolize the roles of traditional political machines <sup>188</sup>

187. Amita Baviskar & Nandini Sunder, *Democracy Versus Economic Transformation?*, ECON. & POL. WKLY, Nov, 15, 2008, at 87, 89.

Troy Thomas, Slumlords: Aerospace Power in Urban Fights, 16 Aerospace Power J. 57 (2002).

<sup>181.</sup> See GIORGIO AGAMBEN, HOMO SACER: SOVEREIGN POWER AND BARE LIFE (Daniel Heller-Roaxen trans., 1998); GIORGIO AGAMBEN, STATE OF EXCEPTION (Keven Atell trans., 2005).

<sup>182.</sup> Neil Smith, Giuliani Time: The Revanchist 1990s, 57 Soc. TEXT 1, 10 (1998).

<sup>183.</sup> Macleod et al., supra note 81, at 1665.

<sup>184.</sup> Denise Ferreira da Silva, *No-Bodies: Law, Raciality and Violence,* 18 GRIFFITH L. REV. 212, 232 (2009), *available at* http://www.hrw.org/sites/default/files/reports/brazil1209web.pdf.

<sup>185.</sup> See Tayyab Mahmud, Postcoloniality and Mythologies of Civil(ized) Society, 26 CHICANA/O-LATINA/O L. REV. 41 (2006).

<sup>186.</sup> *See id.* 

<sup>188.</sup> Ruben Gazzoli, *The Political and Institutional Context of Popular Organizations in Urban Argentina*, 8 ENV'T & URBANIZATION 159, 163 (1996).

and usurp the authentic voice of the slum-dwelling poor. This process "has entrenched the position of a small, homogeneous 'iron triangle' of transnational professionals based in key government ministries (especially finance), multinational and bilateral development agencies, and international NGOs." The "civil society revolution has bureaucratized and de-radicalized urban social movements." The NGOs "end up functioning like the whistle on a pressure cooker. They divert and sublimate political rage, and make sure it does not build to a head." By the account of a Mumbai housing activist, slum-oriented NGOs:

subvert, dis-inform and de-idealize people so as to keep them away from class struggle. They adopt and propagate the practice of begging favors on sympathies and humane grounds rather than making the oppressed conscious of their rights. As a matter of fact these agencies and organizations intervene to oppose the agitational path people take to win their demands. Their effort is constantly to divert people's attention from the larger political evils of imperialism to merely local issues and so confuse people in differentiating enemies from friends. <sup>193</sup>

The antidote for the discourse of civil society and machination of NGOs are grassroots organizations of the marginalized, and concerted action with other subordinated sections of the society, guided by broader transformative political projects. This is the path Dharavi has chosen.

#### VII. CONCLUSION

Urban slums are spaces that radically depart from the original meaning of "city," a term derived from *civ'tade*, *civitat-em*, and *civitas*, "its primary sense was therefore 'citizenship'; thence concretely 'the body of citizens,' the community." Slums testify to the unsustainability of the capitalist world order, and national policies imprisoned in bankrupt development models. They challenge us to cut through the ideological fog that envelops the operations and effects of socioeconomic orders procreated by the rule of unbridled capital accumulation. They underscore the urgency of the task to reimagine concepts of citizenship, class, identity formation, and social

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<sup>189.</sup> VERMA, *supra* note 30, at 150.

<sup>190.</sup> Rita Abrahamsen, *Review Essay: Poverty Reduction or Adjustment by Another Name?*, 99 REV. AFRICAN POL. ECON. 184, 185 (2004); *see also* THE REVOLUTION WILL NOT BE FUNDED: BEYOND THE NON-PROFIT INDUSTRIAL COMPLEX (INCITE! Women of Color Against Violence eds., 2009).

<sup>191.</sup> IVO IMPARATO & JEFF RUSTER, SLUM UPGRADING AND PARTICIPATION: LESSONS FROM LATIN AMERICA 255 (2003).

<sup>192.</sup> ARUNDHATI ROY, THE CHECKBOOK AND THE CRUISE MISSILE: CONVERSATIONS WITH ARUNDHATI ROY 82 (2004).

<sup>193.</sup> P. K. Das, *Manifesto of a Housing Activist, in* BOMBAY: METAPHOR FOR MODERN INDIA 170, 179-80 (Sujata Patel & Alice Thorne eds., 1997).

<sup>194.</sup> III THE OXFORD ENGLISH DICTIONARY 252 (1989).

change in tune with the rhythms of lived experiences of the urban poor. They warrant a deeper understanding of the relationship between power and resistance and the mutually constitutive role of law and extra-legality. The daily struggles and resistive ingenuities of slum dwellers should inform LatCrit's agenda of deploying critique as a strategic practice. By refusing to live a life of "living without alternatives," the slumdogs of Dharavi remind us that a "world without alternatives needs self-criticism as a condition of survival and decency." Are we up to the task?

<sup>195.</sup> Zygmunt Bauman, Living Without an Alternative, 62 Pol. Q. 35, 35, 44 (1991).